**Trust Handbook: Policies and Procedures** 



Title

**Exclusions** 

**Associated Policies** 

- Behaviour and Discipline (TPO/STU/03)
- Equalities Policy (TPO/EO/01)

REVIEWED: SEPTEMBER 2015 NEXT REVIEW: SEPTEMBER 2018

## 1. Policy Statement

- **1.1** The Academy requires everyone to show respect, tolerance and understanding towards others. In this atmosphere, punishment and imposed discipline are irrelevant and the staff and students, through self-discipline and consideration, will behave in a sensitive and civilised fashion.
- 1.2 Disciplinary procedures identify the appropriate action to be taken when a student's behaviour fails to meet the requirements of the Academy's policy on Behaviour and Discipline and/or involves a criminal act.
- **1.3** The decision to exclude a student lies with the Principal. A student may be excluded permanently or for one or more fixed periods up to a maximum of 45 school days in a single academic year.
- **1.4** The behaviour of students outside school can be considered as grounds for exclusion. This will be a matter of judgement for the Principal in accordance with the Academy's published behaviour policy.
- 1.5 The Principal is the only person empowered to exclude students. Where the Principal decides to permanently exclude a student or excludes for a period of more than 15 school days, that decision must be considered by the Governing Body at a Pupil Discipline Hearing within 15 school days. The Governing Body will either uphold the decision or direct the Principal to reinstate the student. Parents will be invited to attend and present their case to the Governing Body if they so wish. For fixed term exclusions of between 6 and 15 school days, a meeting of the Pupil Discipline Committee will only be held at the parent's request.
- **1.6** The Academy will advise the police of any criminal activity; this includes racist incidents that are categorised as crimes.

## 2. Who does this policy apply to?

2.1 This applies to all Academy students.

## 3. Who is responsible for carrying out this policy?

**3.1** The implementation of this policy will be monitored by the Governors of the Academy and the Brooke Weston Trust (Executive Leadership Team).

## 4. What are the principles behind this policy?

- **4.1** The Academy is committed to treating its students fairly and with the same respect and consideration as adult members of the Academy.
- **4.2** The Academy will adopt a series of high expectations of behaviour based on individual responsibility and mutual respect in order to generate an environment where positive relationships are encouraged to flourish.
- **4.3** The Academy will usually seek to put in place a range of intervention strategies which minimise the need for fixed period exclusions or permanent exclusions. These may include being placed on report, internal exclusion, managed moves and the involvement of appropriate external agencies. In some circumstances, the Academy has the power to direct a student off-site for education to improve behaviour.

#### **Trust Handbook: Policies and Procedures**



4.4 Decisions to exclude a student will only be taken in response to breaches in the Academy's policy on behaviour and discipline, including persistent and disruptive behaviour and for permanent exclusions where allowing the student to remain in the academy would seriously harm the education and/or welfare of that pupil or others in the school community. An exclusion may also be appropriate where a criminal act has been committed.

#### 5. Procedures

**5.1** Only the Principal may take the decision to exclude a student.

# **Notifying Parents**

- **5.2** When the decision to exclude a student has been made the parent will be notified immediately by telephone and this will be confirmed in writing.
- **5.3** Written confirmation of the exclusion will include the following details:
  - For a **fixed term** exclusion the precise period of the exclusion
  - For a permanent exclusion the fact that it is a permanent exclusion and the date from which the exclusion takes effect
  - The reasons for the exclusion, including any relevant previous history
  - The days and dates on which the parent is required to ensure that the student is not present in a public place during school hours
  - The parent's right to make representations regarding the exclusion to the Pupil Discipline Committee and how the student may be involved in this
  - Where there is a legal requirement for the Governing Body to consider the exclusion, that
    parents have a right to attend the Pupil Discipline Hearing, be represented at this hearing (at
    their own expense) and to bring a friend
  - The person in the Academy who the parent should contact if they wish to make representations
  - The latest date when the Pupil Discipline Hearing must be held to consider the circumstances of the exclusion
  - The arrangements that will be put in place to ensure that the student is able to continue their
    education during the first five days of the exclusion, including the setting and marking of work
    (it is the parent's responsibility to ensure that this is completed and returned to the Academy)
  - The school days on which a student may be provided with alternative full time education or may be required to attend an alternative provision. This information may be given by separate notification but will be at least 48 hours before the education is to be provided
  - If alternative provision is being arranged then the following information must be included with this notice where it can reasonably be found out within the timescale:
    - The start date for any provision of full-time education that has been arranged for the student during the exclusion
    - The start and finish times of any such provision, including the times for morning and afternoon sessions where relevant
    - The address at which the provision will take place; and
    - Any information required by the student to identify the person he/she should report to on the first day

**Trust Handbook: Policies and Procedures** 



For fixed term exclusions, the arrangements for reintegrating the student into the Academy
will be provided including the date and time the student should return to the Academy. This
may also require the parent to attend an interview with the Principal prior to reintegration.

#### **Notifying Governors**

- **5.4** The Principal will inform the Governing Body of all short **fixed term** exclusions once per term other than as set out in 5.5.
- 5.5 The Principal will inform the Chair of the Governing Body within one school day of all **permanent** exclusions or fixed term exclusions which would result in a student being excluded for more than 5 school days in a term or missing a public exam. This notification will include the following:
  - The student's name
  - The length of the exclusion
  - The reason for the exclusion
  - The student's age, gender and ethnicity
  - If the student has a Statement of Special Educational needs or an EHC Plan
  - If the student is looked after (in care)
  - For fixed term exclusions of more than five days, what alternative provision has been put in place
- **5.6** For a fixed period exclusion of more than five school days, the Governing Body must arrange suitable full-time education for any student of compulsory school age. This provision must begin no later than the sixth day of the exclusion.
- **5.7** For permanent exclusions, the local authority must arrange suitable full-time education for the student to begin no later than the sixth day of the exclusion. This will be the student's 'home authority' in cases where the school is maintained by (or located within) a different local authority.
- **5.8** Provision does not have to be arranged by either the school or local authority for students in the final year of compulsory education who do not have any further public examinations to sit.
- **5.9** The Governing Body must arrange a Pupil Discipline Hearing to consider the reinstatement of an excluded student within 15 school days, where practicable, of receiving notice of the exclusion if:
  - The exclusion is permanent
  - It is a fixed period exclusion which would bring the student's total number of school days of exclusion to more than 15 in a term; or
  - It would result in a student missing a public examination.
- **5.10** The Pupil Discipline Committee is to be comprised of governing body members of the academy. Where this is not practicable, governors from other local governing bodies within the Trust may be co-opted onto the panel.
- **5.11** If requested to do so by the parents, the Local Governing Body must consider the reinstatement of an excluded student within 50 school days of receiving notice of the exclusion, if a student would be excluded from school for more than five school days, but not more than fifteen, in a single term.
- **5.12** Where an exclusion would result in a student missing a public examination, there is a further requirement for the Pupil Discipline Committee, so far as is reasonably practicable, to consider the exclusion before the date of the examination or test. If this is not practicable, the Chair of Governors of the Local Governing Body may consider the exclusion independently and decide whether or not to

## **Trust Handbook: Policies and Procedures**



- reinstate the student. These are the only circumstances in which the Chair can review an exclusion decision alone. In such cases, parents still have the right to make representations to the Pupil Discipline Committee and must be made aware of this right.
- **5.13** In the case of a fixed period exclusions which does not bring the student's total number of days of exclusion to more than 5 in a term, the Local Governing Body must consider any representations made by parents, but it cannot direct reinstatement and is not required to arrange a meeting with parents.
- 5.14 The Local Governing Body will invite the parents/carers to attend the Pupil Discipline Hearing.
- **5.15** The Pupil Hearing Committee will request written statements and will circulate these at least five days in advance of the meeting.
- 5.16 The Pupil Hearing Committee may uphold the exclusion or direct the student's reinstatement.
- **5.17** The outcome of the review will be added to the student record in the Academy.
- **5.18** Whilst there is no automatic right for an excluded student to take an examination or test on the excluding school's premises, the Local Governing Body should consider whether it would be appropriate to exercise their discretion to allow an excluded student on the premises for the sole purpose of taking the examination or test.

# **Attendance Registers**

- **5.19** Where a student has been excluded for a **fixed term** of up to five days and no alternative provision is made, attendance registers should be marked using Code E. Where alternative provision has been made, Code B (education off site) or Code D (dual registration) should be used.
- 5.20 Where a student has been **permanently** excluded they should not be deleted from the register until after the appeal process has been completed. This will be extended to the completion of the independent review board hearing, if one is requested. The letter from the Local Governing Body must also make reference to the right for parents to request a SEN expert and the right to make separate discrimination claims as per the DfE exclusions guidance.

#### **Appeals**

- **5.21** Where a permanent exclusion is upheld by the Governing Body, the parents must be notified in writing. This notification must include the following:
  - The reasons for the decision
  - Details informing parents of their option for the case to be referred to an independent review board, including relevant contact details
  - The last day on which an appeal may be lodged
  - An explanation that appeals may only be received in writing
  - Relevant sources of free and impartial information that will allow parents to make an informed decision on whether and, if so, how to seek a review of the decision
  - A link to this statutory guidance on exclusions:
     http://media.education.gov.uk/assets/files/pdf/s/exclusion%20from%201%20sep%202012%20guide%20for%20those%20with%20legal%20responsibilities%20in%20relation%20to%20exclusion%20june.pdf
  - A link to guidance on making a claim of discrimination to the First-tier Tribunal: http://www.justice.gov.uk/tribunals/send/appeals;
  - A link to the Coram Children's Legal Centre: <a href="www.childrenslegalcentre.com">www.childrenslegalcentre.com</a> 08088 020 008; and,

## **Trust Handbook: Policies and Procedures**



- Where considered relevant by the governing body, links to local services, such as Traveller Education Services or the local parent partnership (<a href="www.parentpartnership.org.uk">www.parentpartnership.org.uk</a>).
- **5.22** Where a permanent exclusion is upheld by the Governing Body parents have 15 school days from the day on which they are notified of this decision to make an application for an independent review panel. The Academy Trust must then arrange for an independent review board hearing to review the decision of a Governing Body not to reinstate a permanently excluded student.
- **5.23** Any application made outside of the legal time frame of 15 school days must be rejected by the Academy Trust.
- **5.24** Parents may request an independent review board even if they did not make a case to, or attend, the meeting at which the Governing Body considered the exclusion.
- **5.25** The Academy Trust must take reasonable steps to identify a date for the review that all parties are able to attend. However, the review must begin within 15 school days of the day on which the Academy Trust received the parent's application for a review.
- **5.26** Where the issues raised by two or more applications for review are the same, or connected, the panel may combine the reviews if, after consultation with all parties, there are no objections.
- **5.27** Where an appeal is received, the Academy will convene an independent review board which will comprise of three or five members, as decided by the Academy Trust, representing each of the three categories below. A five member panel must be constituted with two members from each of the categories of school governors and head teachers.
  - A lay member to chair the panel who has not worked in any school in a paid capacity, disregarding any experience as a school governor or volunteer
  - School Governors, who have served as a Governor for at least 12 consecutive months in the last five years, provided they have not been teachers or head teachers during this time
  - Head teachers or individuals who have been a head teacher within the last five years
- **5.28** During the review, each party will be given the opportunity to put forward their case. The Governing Body may wish to call witnesses who were directly involved in the incident which led to the exclusion.
- **5.29** Parents have a right to request the attendance of a SEN expert at a review, regardless of whether the school recognises that their child has SEN.
- 5.30 The role of the review board is to review the Governing Body's decision not to reinstate a permanently excluded student. In reviewing the decision the board must consider the interests and circumstances of the excluded student, including the circumstances in which the student was excluded, and have regard to the interests of other students and people working at the school. Following its review, the board can decide to:
  - Uphold the exclusion decision
  - Recommend that the Governing Body reconsiders their decision, or
  - Quash the decision and direct that the Governing Body considers the exclusion again where
    the review panel find that the original governing body decision was flawed when considered
    against principles applicable to judicial review.
- **5.31** The review board is independent and the decision is binding on the parent, the Governing Body, the Principal and the Academy Trust.
- **5.32** The review board will inform all parties of the outcome without delay, giving reasons for the decision.

## **Trust Handbook: Policies and Procedures**



- **5.33** Where the board directs or recommends that the Governing Body reconsiders their decision, the Governing Body must reconvene to do so within 10 school days of being given notice of the board's decision. Notice is deemed to have been given on the same day if it is delivered directly or on the second working day after posting if it is sent by first class mail.
- **5.34** In the case of either a recommended or directed reconsideration, the Governing Body must notify the following people of their reconsidered decision, and the reasons for it, in writing and without delay:
  - The parents
  - The Principal
  - The local authority and, where relevant, the 'home authority'

# 6. Policy Review

**6.1** This policy will be monitored as part of the Academy's annual internal review and reviewed on a three year cycle or as required by changes in legislation.

**Trust Handbook: Policies and Procedures** 



# A summary of the Governing Body's duties to review the Principal's exclusion decision

